

PROFORMA FOR SUBMITTING R&D PROJECT PROPOSALS FOR LOAN BY PHARMA INDUSTRY UNDER DRUGS AND PHARMACEUTICALS RESEARCH PROGRAMME (DPRP) OF DEPARTMENT OF SCIENCE AND TECHNOLOGY (DST)

I. PROJECT DETAILS

- Title of the Project
- Objectives
- Strategic advantages (**not more than one page in single space**)
- Project duration with detailed milestones of phases
- Total financial outlay
- Brief C.V. of Project Investigators & Co-investigators
- Approach of the project - its preamble, review of current scenario, methodology, importance and impact value, significance and references
- Reasons and justification of the proposal indicating historical background, circumstances in which the need have arisen, whether alternatives have been considered and what detailed studies have been made in regard to this proposal for its need, its economics and other relevant aspect (**not more than two pages in single space**)
- Scientific and technological background in relationship to developments nationally and internationally along with intellectual property scenario
- Methodology and plan of work including year-wise targets of the project
- Details of available resources including personnel and equipment (indicate separately)
- A brief write-up on the functioning of the industry/R&D Centre (**one page in single space**)
- Details of major areas of R&D, S&T facilities available, current and last two years R&D budget, staff position and recent R&D achievements
- Expected outcome and market return (**not exceeding one page in single space**)

II. FINANCIAL REQUIREMENTS

(Rupees in lakhs)

Head	1 st Year	2 nd Year	3 rd Year	Total
A. RECURRING				
1. Salaries and wages				
2. Consumables and materials				
3. Internal travel				
4. Outsourcing cost if any (give details)				
5. Any other (provide details)				
6. Overheads (services)				
Sub-total (A)				

(Rs. in lakhs)

Head	1 st Year	2 nd Year	3 rd Year	Total
B. NON-RECURRING – CAPITAL				
1. Equipment (New)				
2. Equipment usage (Existing) – give details separately				
3. Software, etc.				
4. Others (please specify)				
Sub-total (B)				
Total cost of the project (A+B)				

Rs. in lakhs

Description	1 st Year	2 nd Year	3 rd Year	Total
I. DST share as loan				
II. Industry share				
a. Internal accrual				
b. Equity				
c. Bank loan				
d. Venture Capital				
e. Any other				
Total cost of the project (I+II)				

III. DECLARATION

We, the undersigned are the investigators of the project titled “-----
-----“. We the undersigned would do our utmost to support and to ensure effective participation of scientists towards the goal oriented, time bound, progress of the said project.

Signatories (Industry)
Name, Designation and Signature and Seal

1. Principal Investigator
2. Co-principal Investigator
3. Chairman/Managing Director

Note/Enclosures needed

1. Please specify the equipment details along with justification for equipment costing above Rs.5.00 lakhs.
2. The latest Annual Report of the company should accompany the proposal
3. The copy of the DSIR recognition should be enclosed.
4. Copy of the Regulatory Clearances like Drug Controller General of India (DCGI), Review Committee on Genetic Manipulation (RCGM), Committee for the Purpose of Control and Supervision of Experiments on Animals (CPCSEA), Institutional Biosafety Committee (IBSC), Institutional Ethic Committee, etc. may be attached with the proposal.
5. Five copies of the proposal need to be submitted alongwith soft copy in CD/Floppy and also email to Head - TDT Division
6. Regarding the industry share its break-up as internal accrual, equity, bank loan, venture capital and any other should be substantiated with proof/letter.

IV. CERTIFICATE

1. The proposed R&D project titled “-----
-----“ submitted by M/s.-----
to DST does not in any way duplicate the work already done or being carried out elsewhere on the subject.
2. The same project has not been submitted to any other department/agency for financial support.
3. Proper patents search have been made and the proposed proposal does not fall under infringement of the existing patent.
4. If the project involves the utilization of genetically engineered organism, it is agreed that we will ensure that an application will be submitted through our Industrial Biosafety Committee and we will declare that while conducting experiments, the biosafety guidelines of the Department of Biotechnology would be followed in toto.
5. If the project involves field trials/experiment/exchange of specimen, etc. We will ensure that ethical clearances would be taken from concerned ethical committees/competent authorities and the same would be conveyed to the Department of Biotechnology before implementing the project.
6. It is agreed that any research outcome or intellectual property right(s) on the invention(s) arising out of the project shall be taken in accordance with the Agreement made in this regard of the said project.
7. We agree to accept the terms and conditions of the programme and execute legal agreement as required before commissioning the project.

(Signature of Chairman/Managing Director)

V. TERMS AND CONDITIONS FOR THE LOAN

1. Whereas the Industrial Partner, _____, have sought from DST a loan of Rs. _____ lakh for carrying out the project work in the initiative and, whereas DST has agreed to grant the loan assistance to _____, hereinafter called the Industrial Partner.
2. The loan will be released by DST to the Industrial Partner in _____ installments, the first on signing of the agreement and subsequent installments will be released to after one year/two years and so on from the date of agreement and on satisfactory implementation of the project as determined by the Monitoring Committee of the project.
3. The partner hereby agrees to the general terms and conditions of the loan as follows :-
 - 3.1 The loan will be an unsecured loan carrying a simple interest of 3% and the interest will be charged on the outstanding amount of the loan.
 - 3.2 Interest will be come due from the date of release of Funds in the project to industrial partner.
 - 3.3 The repayment of the loan will be in 10 annual equal installments.
 - 3.4 The project implementation period will be the moratorium period and will not be liable for repayment of installments and interest. However, the interest accrued during the implementation period will be amortized and will be payable in maximum of 5 installments along with the first installment of principal amount.
 - 3.5 The interest will be collected every year along with the loan installment of repayment.
 - 3.6 The Industrial Partner undertakes to ensure timely repayment of the loan as per the schedule notified. Any delays in repayment will entail payment of penal interest @ 12% compounded monthly for the period of delay. Successive two defaults will entail recall of the total outstanding loan immediately.
 - 3.7 The Industrial Partner shall maintain a separate account for the expenditure from loan amount for the project. Any interest earned by the firm on the loan amount will be shown as such and credited into the project account and will be adjusted against subsequent release of installments.
 - 3.8 The Industrial partner shall utilize the loan only for the purpose of the project and not for any other purchase including civil constructions and renovation of the R&D and associated facilities. Diversion of funds to other purposes will entail cancellation of the loan and immediately repayment of the outstanding loan amount with a penal interest @ 12% compound monthly.
 - 3.9 The Industrial Partner will meet any expenditure incurred on the project over and above the loan amount.

4. The Industrial Partner must have an R&D Centre, which has valid recognition of Department of Scientific and Industrial Research (DSIR), Government of India; if not registered, the firm undertakes to get the R&D Centre so recognized within 12 months. Failing which, unless and otherwise extended the period, the firm may be asked to return the loan amount.
5. The Industrial Partner expressly commits not to transfer, sell, license the IPR arising from the project to any party without the written permission of DST.
6. In case the output of the project leads to products used in/for healthcare, educational R&D purposes, the Industrial Partner undertakes to sell these at concession prices to Indian customers in these sectors.
7. In case of reorganization of the Industrial Partner through merger, acquisition, termination, closure etc. the Industrial partner undertakes to settle the DST loan even prior to initiating such measures.
8. The provision of the grant to the institution or loan to industry partner does not create any liability explicit/implicit on DST of the manpower engaged for the project.
9. In case the Industrial Partner decides to abandon the project or for breach of any of the terms and conditions, the entire amount of the loan outstanding on that date shall become recoverable forthwith and it shall be open to DST to effect the recovery, in any manner it thinks fit, from the firm.
10. Notwithstanding any thing contrary in the agreement, the terms and conditions are subject to change as decided by the Government of India from time to time, the Industrial Partner agrees to abide and honour such directions and decisions of Government of India.
11. In the event that Industrial partner wishes to pay any amounts ahead of the schedule, there shall be no prepayment penalty levied. In case of the project not realizing the stated objectives/deliverables as determined by the monitoring committee/DST, the firm can request for writing off the recurring part of the loan to DST.

VI. CONTACT PERSONS

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